



Document checklist

Foreign Company

What we need to complete your application

Thank you for your interest in NAB. All clients applying for a new business account must complete the identification procedures for the purposes of Anti-Money Laundering and Counter Terrorism Financing laws. The checklist below contains the information we require to establish you as a Customer. Further information may be collected from you to support the establishment process, if required.

Please note that each signatory operating on the account, as well as all Beneficial Owners* will need to provide identification documents as per the **Individual Checklist** unless they are existing NAB customers. Part of our ongoing due diligence, individuals who have been NAB Customers prior to 12/12/2007 may be asked to provide ID documents again.

It is not necessary to identify any Beneficial Owners* where the company is:

- A foreign company that is listed on an Approved Exchange; or
- A majority owned subsidiary of such a company

Information required

- Full name as registered with ASIC
- The full business name including any trading name (if applicable)
- ARBN (Australian Registered Business Number) registered with ASIC
- For Foreign Public Companies, confirm whether it's listed on an Approved Stock Exchange, or a majority owned subsidiary (50% or more) of such a company
- The country in which the company was formed, incorporated or registered
- Date of Incorporation
- Ownership/Control Structure under information required
- The nature & purpose of your intended banking relationship with NAB
- Your Wealth – the activities that have contributed to your wealth to date and which countries did it originate from
- Nature of Business/Industry – all activities of the business
- Registered office address (if registered with ASIC)
- Full address of the company's principal place of business in Australia (if any), or the full name and address of the company's principle agent in Australia (if any)
- Mailing Address
- Where the company is registered by a foreign registration body confirm the type of company i.e. private or public company
- Full name, residential address, date of birth, and foreign tax status (if any), of all Directors (private company only)
- Full name, residential address, date of birth, and foreign tax status (if any), of each Beneficial Owner*

* Any individual who holds (either directly or indirectly) 25% or more of the issued shares in the company or has control (directly or indirectly) 25% or more of the voting rights of the company. If there is no Beneficial Owner, then this applies to the individual who makes the financial and operating decisions for the company (i.e. Senior Managing Official (SMO)).

- If any individuals are a citizen or resident of any foreign country for tax purposes, provide Tax Identification Number (TIN)
- Any Signatories
- Any Joint Account Holders
- Any Agent/Verifying Officer (VO) of the Customer

The following Foreign country information requirements

- Indicate whether the organisation is established or incorporated in the U.S
- Global Intermediary Identification Number (GIIN) if the organisation is a financial institution under the FATCA definition
- Indicate whether in the preceding calendar year the business earned 50% or more of its income from passive sources; AND held 50% or more of its assets to produce investment income
- Indicate whether the organisation is registered for tax purposes in any other country

Next steps

- Organise all required individuals to provide identification documents at a NAB branch or their banker. Where we have your consent, we may be able to verify your identity electronically
- Arrange a time to complete the application process with your banker
- Provide or email information and relevant documents to your banker