



# NAB Cash Manager Application/Amendment

National Australia Bank Limited (NAB) ABN 12 004 044 937 AFSL 230686

To be completed and sent via either

Email: [NABCashManager@nab.com.au](mailto:NABCashManager@nab.com.au)

Fax: 1300 552 790

Mail: NAB Cash & Investments Service Team, Reply Paid 85956, Docklands VIC 3008

For more information go to [nab.com.au/adviser](http://nab.com.au/adviser)

Existing or preallocated NAB Cash Manager account number

BUID

**Please complete Application form in full in black or blue pen using CAPITAL LETTERS and X where appropriate.**

Is this an amendment to an existing NAB Cash Manager account? Yes  No

If yes please ensure you enter your existing NAB Cash Manager account number above and specify the nature of the amendment below)

**Please note:** This form caters for Companies, Corporate Trustees, Individuals, Joint, Non-corporate trusts, Partnerships, Sole traders, Associations, financial adviser appointments and is comprised of:

**Section A** – Applicant details (pages 1–8)

**Section B** – Expected account activity, account holder access & authorities (pages 8–9)

**Section C** – Financial adviser details and authority (pages 9–10)

**Section D** – Signatures (page 11)

**Section E** – Important Information and Authorities (pages 12–13)

Please read and complete all relevant sections.

**For assistance completing the application, please contact the NAB Cash & Investments Service Team on 1800 036 171**

If the entity is new to NAB, you will need to supply the relevant Identification documents. Go to [www.nab.com.au/adviser](http://www.nab.com.au/adviser) for more information and to download the documents

## Section A Applicant Details

Is this application for a Company/Corporate Trustee/Partnership/Sole trader/Association  Yes – complete part 1  No – go to part 2

### Part 1 – Company/Corporate Trustee/Partnership/Sole trader/Association

Full name of Company/Corporate Trustee/Partnership/Sole trader/Association

All Registered Business names/Trading names (if applicable)

Registered address (must not be a PO Box)

State

Postcode

Principal Place of Business/Postal (no PO boxes will be accepted if a registered address has not been supplied)

State

Postcode

Postal address (can be a PO Box)

State

Postcode

Country in which the Company/Corporate Trustee/Partnership/Sole trader/Association was created in

State

Postcode

Telephone – Business Hours

Telephone – After Hours

Email address

NAB customer number

NAB identification number (NIN)

ACN/ABN/Registration Number Unique Identifier (if applicable)

ASIC Registered Status for Companies only (eg: Private or Public)

Type of Industry

What is the Company's core business activity?

If regulated Partnership provide Partnership regulation details (eg. current membership directory)

Date of Establishment/Incorporation/Registration

 /  / 

Is your company an Australian resident for tax purposes? Yes  No

(If Yes, please enter your Tax File Number (TFN) or exemption reason below (optional))

## Business Wealth

(If you are a Corporate Trustee, please proceed to Overseas Tax Residency)

### Wealth Generation Activities

To the best of your knowledge, select the activities and countries that contribute to the wealth of your business. If your business is new, select how you expect to generate wealth, and in what countries.

**How has your business generated its wealth?** (select all that apply)

- |   |  |  |
|---|--|--|
| <input type="checkbox"/> Income from Business                           | <input type="checkbox"/> Gift/donation or windfall             | <input type="checkbox"/> Family - inheritance, spouse, trust, etc. |
| <input type="checkbox"/> Investment (e.g. property, shares, currencies) | <input type="checkbox"/> Benefits, compensation or settlements | <input type="checkbox"/> Income (e.g. salary, redundancy)          |
| <input type="checkbox"/> Assets (e.g. savings, sale of an asset)        | <input type="checkbox"/> Tax Refund                            | <input type="checkbox"/> Loan                                      |
| <input type="checkbox"/> Investor/owner funds                           | <input type="checkbox"/> Third-Party                           |  |
| <input type="checkbox"/> Government grant                               | <input type="checkbox"/> Superannuation/pension                |  |

### What country or countries has your business wealth come from?

(If the space provided is unable to fit the total countries, fill out this section of the application form again and submit it with the original)

## Nature of Relationship with NAB

To comply with Australian banking legislation, we need details about your business banking relationship with NAB.

**What is the intended nature of your business banking relationship with NAB?** (Select all that apply)

- |   |   |
|---|---|
| <input type="checkbox"/> To receive business earnings and make payment    | <input type="checkbox"/> To build up savings and wealth                       |
| <input type="checkbox"/> To receive wages and/or investment income        | <input type="checkbox"/> To cover short term flow shortfalls                  |
| <input type="checkbox"/> To pay/receive money from trade (import/export)  | <input type="checkbox"/> To borrow money for purchases or asset investments   |
| <input type="checkbox"/> To pay for overseas purchases, family or friends | <input type="checkbox"/> To borrow money for personal real estate             |
| <input type="checkbox"/> To transact in financial instruments             | <input type="checkbox"/> To pay for purchases, utilities, family and friends. |

## Overseas Tax Residency

Is the company organised or incorporated in the U.S.? Yes  No

Is the company a resident of any other country for tax purposes? Yes  No

Is the company a financial institution? Yes  No

**If yes to the above question please complete the Overseas Tax Residency Self Certification Declaration Form available on [nab.com.au/overseastax](http://nab.com.au/overseastax).**

**The on-boarding process will not be completed until this form is collected.**

In the preceding calendar year, did your company:

- a) earn 50% or more of its gross income from investment sources\*; **AND**  
b) hold 50% or more of its assets^ to produce investment income. Yes  No

\* Examples of investment income sources are dividends, rental income, interest, distributions, royalties and annuities.

^ Examples of investment assets are shares, property and bonds.

**Part 2 – Individuals/joint or non-corporate trusts**

If Private Company entered in Part 1 please complete Director details in Part 2

**Applicant 1**

What capacity are you completing this section?  Individual  Director  Trustee  Beneficial Owner  Senior Managing Official  
 Settlor  Partner  Secretary  Chairman/President  Public Officer

Title  Mr  Mrs  Miss  Other  Surname

Given Name(s)  Date of birth  /  /

Email address  Telephone (landline) (  )  Telephone (mobile) (  )

Residential Address (must not be a PO Box)   
 State  Postcode

Postal Address (if different from residential address) No PO boxes will be accepted if a residential address has not been supplied   
 State  Postcode

Occupation  Employer's name or Employer customer no.

Job title  Job status customer no.  Full-time  Part-time  Other  Date commenced

Are you an Australian resident for tax purposes? Yes  No   
(If Yes, please enter your Tax File Number (TFN) or exemption reason below (optional))

List all of the countries you are a citizen of.

**Country of Citizenship**

<input type="text"/>	<input type="text"/>

Are you a resident of any country other than Australia for tax purposes (note: US tax residents include US citizens)? Yes  No

**If yes to the above question please complete the Overseas Tax Residency Self Certification Declaration Form available on [nab.com.au/overseastax](http://nab.com.au/overseastax).**

**The on-boarding process will not be completed until this form is collected.**

**Personal Wealth**

(If this application is for a Trust or a Company, please proceed to the question "Is this applicant an existing NAB customer?")

**Wealth Generation Activities**

To the best of your knowledge, select the activities and countries that contribute to your wealth.

**How have you generated your wealth?** (select all that apply)

- Income from Business
- Investment (e.g. property, shares, currencies)
- Assets (e.g. savings, sale of an asset)
- Investor/owner funds
- Government grant
- Gift/donation or windfall
- Benefits, compensation or settlements
- Tax Refund
- Third-Party
- Superannuation/pension
- Family - inheritance, spouse, trust, etc.
- Income (e.g. salary, redundancy)
- Loan

**What country or countries has your wealth come from?**

(If the space provided is unable to fit the total countries, fill out this section of the application form again and submit it with the original)

Two empty text input boxes for listing countries.

**Nature of Relationship with NAB**

To comply with Australian banking legislation, we need details about your personal banking relationship with NAB.

**What is the intended nature of your business banking relationship with NAB?** (Select all that apply)

- To receive business earnings and make payment
- To receive wages and/or investment income
- To pay/receive money from trade (import/export)
- To pay for overseas purchases, family or friends
- To transact in financial instruments
- To build up savings and wealth
- To cover short term flow shortfalls
- To borrow money for purchases or asset investments
- To borrow money for personal real estate
- To pay for purchases, utilities, family and friends.

Is this applicant an existing NAB customer?

NAB customer number

NAB identification number (NIN)

Two empty text input boxes for NAB customer number and NAB identification number.

Are there any more applicants?  No – go to part 3  Yes – go to Applicant 2

**Applicant 2**

What capacity are you completing this section?  Individual  Director  Trustee  Beneficial Owner  Senior Managing Official  Settlor  Partner  Secretary  Chairman/President  Public Officer

Title:  Mr  Mrs  Miss  Other. Surname: [Empty text box]

Given Name(s): [Empty text box] Date of birth: [Empty text box with slashes]

Email address: [Empty text box] Telephone (landline): [Empty text box with parentheses] Telephone (mobile): [Empty text box with parentheses]

Residential Address (must not be a PO Box): [Empty text box] State: [Empty text box] Postcode: [Empty text box]

Postal Address (if different from residential address) No PO boxes will be accepted if a residential address has not been supplied. [Empty text box] State: [Empty text box] Postcode: [Empty text box]

Occupation: [Empty text box] Employer's name or Employer customer no.: [Empty text box]

Job title: [Empty text box] Job status customer no.:  Full-time  Part-time  Other Date commenced: [Empty text box]

Are you an Australian resident for tax purposes? Yes  No

(If Yes, please enter your Tax File Number (TFN) or exemption reason below (optional))

[Empty text box for TFN or exemption reason]

List all of the countries you are a citizen of.

Country of Citizenship	

Are you a resident of any country other than Australia for tax purposes (note: US tax residents include US citizens)? Yes  No

**If yes to the above question please complete the Overseas Tax Residency Self Certification Declaration Form available on [nab.com.au/overseastax](http://nab.com.au/overseastax).**

**The on-boarding process will not be completed until this form is collected.**

### Personal Wealth

(If this application is for a Trust or a Company, please proceed to the question "Is this applicant an existing NAB customer")

### Wealth Generation Activities

To the best of your knowledge, select the activities and countries that contribute to your wealth.

**How have you generated your wealth?** (select all that apply)

- |   |  |  |
|---|--|--|
| <input type="checkbox"/> Income from Business                           | <input type="checkbox"/> Gift/donation or windfall             | <input type="checkbox"/> Family - inheritance, spouse, trust, etc. |
| <input type="checkbox"/> Investment (e.g. property, shares, currencies) | <input type="checkbox"/> Benefits, compensation or settlements | <input type="checkbox"/> Income (e.g. salary, redundancy)          |
| <input type="checkbox"/> Assets (e.g. savings, sale of an asset)        | <input type="checkbox"/> Tax Refund                            | <input type="checkbox"/> Loan                                      |
| <input type="checkbox"/> Investor/owner funds                           | <input type="checkbox"/> Third-Party                           |  |
| <input type="checkbox"/> Government grant                               | <input type="checkbox"/> Superannuation/pension                |  |

### What country or countries has your wealth come from?

(If the space provided is unable to fit the total countries, fill out this section of the application form again and submit it with the original)


### Nature of Relationship with NAB

To comply with Australian banking legislation, we need details about your personal banking relationship with NAB.

**What is the intended nature of your business banking relationship with NAB?** (Select all that apply)

- |   |   |
|---|---|
| <input type="checkbox"/> To receive business earnings and make payment    | <input type="checkbox"/> To build up savings and wealth                       |
| <input type="checkbox"/> To receive wages and/or investment income        | <input type="checkbox"/> To cover short term flow shortfalls                  |
| <input type="checkbox"/> To pay/receive money from trade (import/export)  | <input type="checkbox"/> To borrow money for purchases or asset investments   |
| <input type="checkbox"/> To pay for overseas purchases, family or friends | <input type="checkbox"/> To borrow money for personal real estate             |
| <input type="checkbox"/> To transact in financial instruments             | <input type="checkbox"/> To pay for purchases, utilities, family and friends. |

Is this applicant an existing NAB customer?

NAB customer number

NAB identification number (NIN)

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Are there any more applicants?  No - go to part 3  Yes - go to Applicant 3

### Applicant 3

What capacity are you completing this section?  Individual  Director  Trustee  Beneficial Owner  Senior Managing Official  
 Settlor  Partner  Secretary  Chairman/President  Public Officer

Title  Mr  Mrs  Miss  Other  Surname

Given Name(s)  Date of birth  /  /

Email address  Telephone (landline)  (  )  Telephone (mobile)  (  )

Residential Address (must not be a PO Box)

	State	Postcode

Postal Address (if different from residential address) No PO boxes will be accepted if a residential address has not been supplied

	State	Postcode

Occupation

Employer's name or Employer customer no.

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Job title

Job status customer no.

Date commenced

	<input type="checkbox"/> Full-time <input type="checkbox"/> Part-time <input type="checkbox"/> Other	
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Are you an Australian resident for tax purposes? Yes  No

(If Yes, please enter your Tax File Number (TFN) or exemption reason below (optional))

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List all of the countries you are a citizen of.

Country of Citizenship	

Are you a resident of any country other than Australia for tax purposes (note: US tax residents include US citizens)? Yes  No

**If yes to the above question please complete the Overseas Tax Residency Self Certification Declaration Form available on [nab.com.au/overseastax](http://nab.com.au/overseastax).**

**The on-boarding process will not be completed until this form is collected.**

#### Personal Wealth

(If this application is for a Trust or a Company, please proceed to the question "Is this applicant an existing NAB customer")

#### Wealth Generation Activities

To the best of your knowledge, select the activities and countries that contribute to your wealth.

**How have you generated your wealth?** (select all that apply)

- |   |  |  |
|---|--|--|
| <input type="checkbox"/> Income from Business                           | <input type="checkbox"/> Gift/donation or windfall             | <input type="checkbox"/> Family - inheritance, spouse, trust, etc. |
| <input type="checkbox"/> Investment (e.g. property, shares, currencies) | <input type="checkbox"/> Benefits, compensation or settlements | <input type="checkbox"/> Income (e.g. salary, redundancy)          |
| <input type="checkbox"/> Assets (e.g. savings, sale of an asset)        | <input type="checkbox"/> Tax Refund                            | <input type="checkbox"/> Loan                                      |
| <input type="checkbox"/> Investor/owner funds                           | <input type="checkbox"/> Third-Party                           |  |
| <input type="checkbox"/> Government grant                               | <input type="checkbox"/> Superannuation/pension                |  |

#### What country or countries has your wealth come from?

(If the space provided is unable to fit the total countries, fill out this section of the application form again and submit it with the original)


#### Nature of Relationship with NAB

To comply with Australian banking legislation, we need details about your personal banking relationship with NAB.

**What is the intended nature of your business banking relationship with NAB?** (Select all that apply)

- |   |   |
|---|---|
| <input type="checkbox"/> To receive business earnings and make payment    | <input type="checkbox"/> To build up savings and wealth                       |
| <input type="checkbox"/> To receive wages and/or investment income        | <input type="checkbox"/> To cover short term flow shortfalls                  |
| <input type="checkbox"/> To pay/receive money from trade (import/export)  | <input type="checkbox"/> To borrow money for purchases or asset investments   |
| <input type="checkbox"/> To pay for overseas purchases, family or friends | <input type="checkbox"/> To borrow money for personal real estate             |
| <input type="checkbox"/> To transact in financial instruments             | <input type="checkbox"/> To pay for purchases, utilities, family and friends. |

Is this applicant an existing NAB customer?

NAB customer number

NAB identification number (NIN)

<input type="text"/>	<input type="text"/>
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Are there any more applicants?  No – go to part 3  Yes – go to Applicant 4

**Applicant 4**

What capacity are you completing this section?  Individual  Director  Trustee  Beneficial Owner  Senior Managing Official  
 Settlor  Partner  Secretary  Chairman/President  Public Officer

Title	Surname
<input type="checkbox"/> Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Other	<input type="text"/>

Given Name(s)	Date of birth
<input type="text"/>	<input type="text"/> / <input type="text"/> / <input type="text"/>

Email address	Telephone (landline)	Telephone (mobile)
<input type="text"/>	( <input type="text"/> ) <input type="text"/>	( <input type="text"/> ) <input type="text"/>

Residential Address (must not be a PO Box)

<input type="text"/>
State <input type="text"/> Postcode <input type="text"/>

Postal Address (if different from residential address) No PO boxes will be accepted if a residential address has not been supplied

<input type="text"/>
State <input type="text"/> Postcode <input type="text"/>

Occupation	Employer's name or Employer customer no.
<input type="text"/>	<input type="text"/>

Job title	Job status customer no.	Date commenced
<input type="text"/>	<input type="checkbox"/> Full-time <input type="checkbox"/> Part-time <input type="checkbox"/> Other	<input type="text"/>

Are you an Australian resident for tax purposes? Yes  No

(If Yes, please enter your Tax File Number (TFN) or exemption reason below (optional))

<input type="text"/>
----------------------

List all of the countries you are a citizen of.

Country of Citizenship	
<input type="text"/>	<input type="text"/>

Are you a resident of any country other than Australia for tax purposes (note: US tax residents include US citizens)? Yes  No

**If yes to the above question please complete the Overseas Tax Residency Self Certification Declaration Form available on [nab.com.au/overseastax](http://nab.com.au/overseastax).**

**The on-boarding process will not be completed until this form is collected.**

**Personal Wealth**

(If this application is for a Trust or a Company, please proceed to the question "Is this applicant an existing NAB customer")

**Wealth Generation Activities**

To the best of your knowledge, select the activities and countries that contribute to your wealth.

**How have you generated your wealth?** (select all that apply)

- |   |  |  |
|---|--|--|
| <input type="checkbox"/> Income from Business                           | <input type="checkbox"/> Gift/donation or windfall             | <input type="checkbox"/> Family - inheritance, spouse, trust, etc. |
| <input type="checkbox"/> Investment (e.g. property, shares, currencies) | <input type="checkbox"/> Benefits, compensation or settlements | <input type="checkbox"/> Income (e.g. salary, redundancy)          |
| <input type="checkbox"/> Assets (e.g. savings, sale of an asset)        | <input type="checkbox"/> Tax Refund                            | <input type="checkbox"/> Loan                                      |
| <input type="checkbox"/> Investor/owner funds                           | <input type="checkbox"/> Third-Party                           |  |
| <input type="checkbox"/> Government grant                               | <input type="checkbox"/> Superannuation/pension                |  |

**What country or countries has your wealth come from?**

(If the space provided is unable to fit the total countries, fill out this section of the application form again and submit it with the original)


**Nature of Relationship with NAB**

To comply with Australian banking legislation, we need details about your personal banking relationship with NAB.

**What is the intended nature of your business banking relationship with NAB?** (Select all that apply)

- |   |   |
|---|---|
| <input type="checkbox"/> To receive business earnings and make payment    | <input type="checkbox"/> To build up savings and wealth                       |
| <input type="checkbox"/> To receive wages and/or investment income        | <input type="checkbox"/> To cover short term flow shortfalls                  |
| <input type="checkbox"/> To pay/receive money from trade (import/export)  | <input type="checkbox"/> To borrow money for purchases or asset investments   |
| <input type="checkbox"/> To pay for overseas purchases, family or friends | <input type="checkbox"/> To borrow money for personal realstate               |
| <input type="checkbox"/> To transact in financial instruments             | <input type="checkbox"/> To pay for purchases, utilities, family and friends. |

Is this applicant an existing NAB customer?

NAB customer number

NAB identification number (NIN)

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**Part 3 - Trust information**

Is the application for a superannuation fund or trust? Yes  If Yes, complete below No  If not, go to Section B

Please provide the name and address of the trust

Name of trust

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Type of trust

Country in which trust is established

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NAB customer number

NAB identification number (NIN)

Date of Establishment.

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Principal place of business (must not be a PO Box)

  	State	Postcode
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Postal address (if different from the registered address)

  	State	Postcode
----------	-------	----------

Industry/Nature of Business (e.g. property trust, financial asset investor)

ABN (for trust only)

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Is the trust an Australian resident for tax purposes? Yes  No

If Yes, please enter your Tax File Number (TFN) or exemption reason below (optional))

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Is the trust organised or incorporated in the US? Yes  No

## Business Wealth

### Wealth Generation Activities

To the best of your knowledge, select the activities and countries that contribute to your wealth. If your business is new, select how you expect to generate wealth, and in what countries.

**How has your business generated its wealth?** (select all that apply)

- |   |  |  |
|---|--|--|
| <input type="checkbox"/> Income from Business                           | <input type="checkbox"/> Gift/donation or windfall             | <input type="checkbox"/> Family - inheritance, spouse, trust, etc. |
| <input type="checkbox"/> Investment (e.g. property, shares, currencies) | <input type="checkbox"/> Benefits, compensation or settlements | <input type="checkbox"/> Income (e.g. salary, redundancy)          |
| <input type="checkbox"/> Assets (e.g. savings, sale of an asset)        | <input type="checkbox"/> Tax Refund                            | <input type="checkbox"/> Loan                                      |
| <input type="checkbox"/> Investor/owner funds                           | <input type="checkbox"/> Third-Party                           |  |
| <input type="checkbox"/> Government grant                               | <input type="checkbox"/> Superannuation/pension                |  |

### What country or countries has your wealth come from?

(If the space provided is unable to fit the total countries, fill out this section of the application form again and submit it with the original)

  

### Nature of Relationship with NAB

To comply with Australian banking legislation, we need details about your personal banking relationship with NAB.

**What is the intended nature of your business banking relationship with NAB?** (Select all that apply)

- |   |   |
|---|---|
| <input type="checkbox"/> To receive business earnings and make payment    | <input type="checkbox"/> To build up savings and wealth                       |
| <input type="checkbox"/> To receive wages and/or investment income        | <input type="checkbox"/> To cover short term flow shortfalls                  |
| <input type="checkbox"/> To pay/receive money from trade (import/export)  | <input type="checkbox"/> To borrow money for purchases or asset investments   |
| <input type="checkbox"/> To pay for overseas purchases, family or friends | <input type="checkbox"/> To borrow money for personal real estate             |
| <input type="checkbox"/> To transact in financial instruments             | <input type="checkbox"/> To pay for purchases, utilities, family and friends. |

Is the trust a financial institution? Yes  No

Is the trust a resident of any other country for tax purposes? Yes  No

**If yes to the above question please complete the Overseas Tax Residency Self Certification Declaration Form available on [nab.com.au/overseastax](http://nab.com.au/overseastax).**

**The on-boarding process will not be completed until this form is collected.**

In the preceding calendar year, did your company:

- a) earn 50% or more of its gross income from investment sources\*; **AND**  
b) hold 50% or more of its assets^ to produce investment income. Yes  No

\* Examples of investment income sources are dividends, rental income, interest, distributions, royalties and annuities.

^ Examples of investment assets are shares, property and bonds.

**Note:** Applicant to complete Beneficiary details below unless the trust is a Superannuation Trust

**Beneficiary 1**

Title  Mr  Mrs  Miss  Other  Surname

Given Name(s)  Date of birth  /  /

Residential Address   
 State  Postcode

Are you a resident of any country other than Australia for tax purposes (note: US tax residents include US citizens)? Yes  No

**If yes to the above question please complete the Overseas Tax Residency Self Certification Declaration Form available on [nab.com.au/overseastax](http://nab.com.au/overseastax).**

**The on-boarding process will not be completed until this form is collected.**

**Beneficiary 2**

Title  Mr  Mrs  Miss  Other  Surname

Given Name(s)  Date of birth  /  /

Residential Address   
 State  Postcode

Description of the class of beneficiaries (only applicable where names of beneficiaries are not provided)

Are you a resident of any country other than Australia for tax purposes (note: US tax residents include US citizens)? Yes  No

**If yes to the above question please complete the Overseas Tax Residency Self Certification Declaration Form available on [nab.com.au/overseastax](http://nab.com.au/overseastax).**

**The on-boarding process will not be completed until this form is collected.**

➤ [Go to Section B](#)

**Section B** Expected account activity, account holder access & authorities

**Source of funds**

To the best of your knowledge, tell us about the activities and countries expected to contribute to ongoing funding.

**How do you expect your account will be funded?** (select all that apply)

- Income from Business
- Gift/donation or windfall
- Family - inheritance, spouse, trust, etc.
- Investment (e.g. property, shares, currencies)
- Benefits, compensation or settlements
- Income (e.g. salary, redundancy)
- Assets (e.g. savings, sale of an asset)
- Tax Refund
- Loan
- Investor/owner funds
- Third-Party
- Government grant
- Superannuation/pension

**What country or countries do you expect account funding to come from?**

(If the space provided is unable to fit the total countries, fill out this section of the application form again and submit it with the original)

**Expected Account Activity**

To the best of your knowledge, tell us the expected range of money that will be going in and out of the account on average each month.

**Question 1:** Monthly average money in

- \$0 – \$2,500
- \$2,501 – \$10,000
- \$10,001 – \$50,000
- \$50,001 – \$250,000
- Greater than \$250,000

**Question 2:** Monthly average money out

- \$0 – \$2,500
- \$2,501 – \$10,000
- \$10,001 – \$50,000
- \$50,001 – \$250,000
- Greater than \$250,000

Applicant(s) to complete

Name of the account (if the account is a superannuation fund or trust, please insert the entity's name here)

Who is eligible to sign for transactions?

- All account holders to sign
- Either account holder can sign
- Other

**Please note:** if no election is made, NAB will assume that any account holder may sign solely (this will enable transactions via any account holder using Internet Banking)

How would the account holder(s) like to access the NAB Cash Manager account?

- NAB Internet Banking  If you are new to NAB, we will set up a new NAB Identification Number (NIN) for your Internet Banking.
- NAB Telephone Banking  If this application is for a Trust, a new NIN will be created for the Trust
- NAB Connect  This can only be selected if Company or Corporate Trust. See clause 8 of section E for terms of authority

If you already have a NAB Connect User ID, please enter below.  
Your NAB Cash Manager account will be linked to your NAB Connect profile.

If you do not already have a NAB Connect profile, you will need to complete a NAB Connect application form. This can be found in the forms catalogue at [www.nab.com.au/adviser](http://www.nab.com.au/adviser)

- ATM / Debit card  (not available for SMSF unless in pension phase)
- Deposit book
- NAB Facsimile Instruction Facility  See clause 2 of section E for terms of authority
- NAB Telephone Withdrawal Facility  See clause 3 of section E for terms of authority
- Email instruction  We will accept email instructions from email addresses listed in the Applicant Details section A above for each account holder (see clause 4 of section E for terms of authority)

Nominated destination account for Email instructions and NAB Telephone Withdrawal Facility instructions

Account name

BSB number

Account number

Where would you like the interest earned on the account to be deposited?

- The NAB Cash Manager being applied for in this application  
 Another account. Please enter the details below:

Account name

BSB number

Account number

<input type="text"/>	<input type="text"/>	<input type="text"/>
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Bank

➤ [Go to Section C](#)

## Section C Financial adviser and representatives details and authority

### Enquiry Authority

By completing your financial adviser's details or listed authorised representatives of your financial adviser in Part 1 you authorise NAB to disclose information about this application and your NAB Cash Manager account to your financial adviser or their listed representative(s) by telephone, facsimile or email.

In addition, you authorise NAB to disclose limited account information on your NAB Cash Manager account (including for example account balance or transaction data) to nominated representatives of your financial adviser (such nominated representatives which your financial adviser verifies to NAB from time to time) who are entitled to access such account information on behalf of the account holder(s) by telephone, facsimile or email addresses of the financial adviser. See clause 5 of section E for terms of authority.

### Part 1 – Financial Adviser details – Applicant to complete with financial adviser

**Note:** for the purposes of this application, financial adviser includes Accountants, Stockbrokers, Financial Advisers and other financial services professionals

Financial adviser name

Financial adviser's company

Australian Financial Services License (where applicable)

Email address

Telephone number

Fax number

### Financial adviser's representatives authority

By completing this section, you also authorise NAB to receive enquiries from the authorised representatives listed below on behalf of your financial adviser on your NAB Cash Manager account. See clause 5 of section E for terms of authority.

#### Authorised Person/Officer 1

Given Name(s)

Surname

Fax number

Email address

#### Authorised Person/Officer 2

Given Name(s)

Surname

Fax number

Email address

#### Authorised Person/Officer 3

Given Name(s)

Surname

Fax number

Email address

## Part 2 – Limited transaction authority

This authority enables your financial adviser and/or authorised representatives listed above to withdraw funds from your NAB Cash Manager account on email or facsimile instructions to the nominated account (section B) or any other account where evidenced in writing (see clause 6 of section E for terms of authority). For withdrawal instructions provided by telephone the NAB Telephone Withdrawal Facility terms in clauses 2.4 to 2.7 of the NAB Cash Manager terms and conditions apply to such instructions provided by your financial adviser or authorised representatives on your behalf.

Do you authorise NAB to receive and complete email, facsimile or telephone instructions from your financial adviser or authorised representatives to conduct deposit or withdrawal transactions on your NAB Cash Manager account? Yes  No

## Part 3 – Account Transaction Data Feeds

This Part 3 is to enable the financial adviser detailed in Part 1 above to view your NAB Cash Manager account information via the financial services software of the named Account Service Provider below.

Before completing part 3 section C, you should read clause 7 of Section E for terms of authority.

Yes, I/We authorise NAB to disclose account information (details and transactions) of the NAB Cash Manager account electronically via regular data feeds through the financial services software of the following Account Service Provider

Account Service Provider Name

User name

**To confirm that the Account Service Provider named above is compatible with NAB Account Data Feeds, please contact our NAB Cash & Investments Service Team on 1800 036 171**

## Part 4 – NAB Connect and/or NAB Internet Banking

Would you like to authorise your financial adviser, including any other representative from the financial adviser detailed in Section C Part 1 to be a User of your NAB Cash Manager account via NAB Connect and/or NAB Internet Banking.

NAB Connect – please complete NAB Connect Section below NAB Internet Banking – please complete NAB Internet Banking section below

Neither – go to Section D

### NAB Connect

NAB Connect is a platform that helps your financial adviser review and report on your NAB Cash Manager and allows your financial adviser to see your account balances, view transaction history, and if authorised for transaction access they can transfer funds and pay bills either online or via their mobile. See clause 9 of section E for terms of authority.

Please enter the financial adviser's NAB Connect User ID (if your adviser does not have a NAB Connect User ID they will need to complete a New Adviser or Accountant Registration Form or a NAB Connect application form. These can be found in the forms catalogue at [www.nab.com.au/adviser](http://www.nab.com.au/adviser))

Do you want to grant any existing NAB Connect Users associated with the financial adviser to access this account? (eg. additional financial adviser back office staff) Yes  No

If yes, please select  all Users associated with the financial adviser, or  specific Users (please enter the NAB Connect User IDs below)

What level of access do you want to provide your adviser and/or other NAB Connect Users authorised above?

View/Enquiry only - This will allow your financial adviser to request or obtain any or all information relating to the Account.

**Or**

View/Enquiry and transaction access. – Please select those applicable

Stop Cheque - This will allow your financial adviser to cancel cheques on your behalf

Linked account transfer - This will allow your financial adviser to transfer funds to your Nominated Destination account listed in Section B

BPAY - This will allow your financial adviser to may BPAY payments on your behalf

Domestic Payments - This will allow your financial advisers to transfer funds to any Australian bank account including authorising periodical payments and direct debits

### NAB Internet Banking

NAB Internet Banking allows your financial adviser to see your NAB Cash Manager account balance, view transaction history, and if authorised for transaction access they can transfer funds and pay bills either online or via their mobile from your NAB Cash Manager account.

Please enter the financial adviser's NAB Internet Banking User ID (if your adviser does not have a NAB Internet Banking user ID they will need to complete a NAB Cash Manager Internet Banking Access form. This can be found in the forms catalogue at [www.nab.com.au/adviser](http://www.nab.com.au/adviser))

View/Enquiry only access - This will allow your financial adviser to request or obtain any or all information relating to the Account.

**Or**

View/Enquiry and transaction access - This will allow your financial adviser to:

– Transfer funds to any account

– Authorise periodical payments and direct debits

– Operate the Account in any way permitted by NAB by Internet Banking

– Request or obtain any or all information relating to the Account

## Section D Signatures

### Before You Sign

Before you sign this application form please ensure you do the following:

Section A: Confirm all your contact details have been entered correctly – these are important for receiving accounts statements so you can review activity on your account

Section C: Check the level of access you have given your financial adviser (Part 4)

Remember: If you give them transactional access, this will allow them to transfer money from your NAB Cash Manager to any account.

This Section is to be read and signed below by the Applicant(s) and financial adviser.

By signing below I/we agree:

- I/We have read the information I/we have provided on this application and state the information is complete and correct
- I/We have read Section E Important Information and Authority and have asked NAB or an independent legal advisor any questions I/we have about the authorities which apply to the NAB Cash Manager account before signing
- I/We acknowledge that if NAB in its discretion allows the account to become overdrawn, I/we will be liable to NAB for those amounts
- I/We agree to receive the disclosure documents, including terms and conditions, fees and charges, privacy notification and interest rates applicable to your NAB Cash Manager account by accessing them from [www.nab.com.au/adviser](http://www.nab.com.au/adviser)
- If you would like paper copies of these documents instead, let us know on 1800 036 171
- I/We agree to NAB's [privacy notification](#)
- I/We undertake to advise NAB within 30 days of any change in circumstances that affects my tax residency status and to provide NAB with an updated selfcertification within 30 days of such change in circumstances.

### Applicant(s) signatures (from Section A)

Signature 1

Date

Name (BLOCK LETTERS)

Capacity (eg. Director, Trustee)

Signature 2

Date

Name (BLOCK LETTERS)

Capacity (eg. Director, Trustee)

Signature 3

Date

Name (BLOCK LETTERS)

Capacity (eg. Director, Trustee)

Signature 4

Date

Name (BLOCK LETTERS)

Capacity (eg. Director, Trustee)

### Financial adviser (from Section C)

Signature

Date

Name (BLOCK LETTERS)

## Section E Important Information and Authorities

This section sets out the terms and conditions for the authorities referred to in this application form and apply to the NAB Cash Manager account established in the Applicant(s) name.

References to you or your in section E apply to each applicant/account holder jointly and/or severally as the context requires.

### NAB Cash Manager terms and conditions (including Special Interest Rate flyer)

1. The NAB Cash Manager account is governed by the NAB Cash Manager Terms and Conditions (including NAB's Special Interest Rate flyer and NAB's Privacy Notification) and A Guide to Fees and Charges – Personal Banking fees. These disclosure documents (forming the terms and conditions for the NAB Cash Manager account) are accessible electronically at [www.nab.com.au/adviser](http://www.nab.com.au/adviser) and [www.nab.com.au/cmasespecialrate](http://www.nab.com.au/cmasespecialrate)

As a customer being introduced by an eligible financial adviser, you will receive a special interest rate that is set out at [www.nab.com.au/cmasespecialrate](http://www.nab.com.au/cmasespecialrate).

### Account holder authorities – Section B

2. The terms and conditions which apply to the facsimile instructions received by NAB under the NAB Facsimile Instruction Facility are set out in clauses 2.9 to 2.12 of the NAB Cash Manager Terms and Conditions.
3. The terms and conditions which apply to the telephone withdrawal instructions received by NAB under the NAB Telephone Withdrawal Facility are set out in clauses 2.4 to 2.8 of the NAB Cash Manager Terms and Conditions.

### Email instructions

4. The following terms and conditions apply to the email instructions you provide under the account access authority referred to in Section B of this application form.
  - a) You authorise NAB to act on email instructions from you which NAB receives from your email address listed in Section B concerning any partial or total withdrawal transaction from your NAB Cash Manager account to your nominated account (set out in Section B).
  - b) All instructions emailed to NAB for processing on a particular day must be received by NAB by 4pm (Melbourne time) on the preceding banking day. NAB reserves the right to hold any instructions received after this time for processing on the following banking day. A withdrawal request will usually be processed the next banking day.
  - c) When NAB receives an email instruction to transfer money to your nominated account, NAB will act on that request as if a signed written request has been received.
  - d) Where an email instruction to transfer money to an account other than your nominated account is received by NAB from you, prior to the transaction being processed, the request must be confirmed by signed written request from you, and:
    - (i) such request to be scanned and attached to the email instruction; or
    - (ii) form part of the content of the facsimile instructions.
  - e) Where NAB considers it appropriate to do so, NAB may delay in acting on or not acting on an email instruction. Examples of when this may occur include, where the Email instruction is made after the cut-off time for processing on a particular day, where NAB suspects fraud in relation to the request, or where NAB reasonably believes that processing the request may cause loss to NAB or to you. These are examples only and do not limit NAB's ability to delay or not act on a request for any other reason.

In the absence of a breach of obligation owed to you, NAB will not be responsible for any loss, damage or liability you may suffer or incur by reason of, or in connection with:

    - (i) NAB acting on any email instruction from you.
    - (ii) NAB's failure to act or delay in action on any email instruction.
  - f) NAB will not be liable for any loss which is not a foreseeable consequence of a claimed breach, nor for any loss caused by the actions of you in breach of these terms and conditions.

### Financial adviser enquiry authority – Section C

5. The enquiry authority referred to in Section C authorises NAB to disclose such information to your financial adviser, their listed authorised representatives or nominated representatives using the telephone, facsimile or email details provided in that Section for the relevant financial adviser or listed authorised representatives. For nominated representatives the financial adviser's telephone, facsimile or email details will be relied on.

### Email or facsimile instructions

6. The following terms and conditions apply to the email or facsimile instructions provided under the "Limited transaction authority" or "Financial adviser representatives' authority" which NAB may receive from either the financial adviser or their authorised representatives listed in Section C Part 1
  - a) You authorise NAB to act on email or facsimile instructions from the financial adviser (named in Section C Part 1) or where listed, the financial adviser's authorised representatives (named in Section C Part 1) which NAB receives from the listed email address or fax number for either the financial adviser or their listed authorised representative concerning partial or total withdrawal transaction from your NAB Cash Manager account to your nominated account (set out in Section B).
  - b) All instructions emailed or facsimiled to NAB for processing on a particular day must be received by NAB by 4pm (Melbourne time) on the preceding banking day. NAB reserves the right to hold any instructions received after this time for processing on the following banking day. A withdrawal request will usually be processed the next banking day.
  - c) When NAB receives an email or facsimile instruction to transfer money to your nominated account, NAB will act on that request as if a signed written request has been received.

Where either an email or facsimile instruction to transfer money to an account other than your nominated account is received by NAB from your financial adviser or where listed, the financial adviser's representatives, prior to the transaction being processed, the request must be confirmed by signed written request from you, and:

    - (i) such request must be scanned and attached to the email instruction from your financial adviser or (where listed) financial adviser representative; or
    - (ii) form part of the content of the facsimile instructions.
  - d) Where NAB considers it appropriate to do so, NAB may delay in acting on or not acting on an email or facsimile instruction. Examples of when this may occur include, where the email or facsimile instruction is made after the cut-off time for processing on a particular day, where NAB suspects fraud in relation to the request, or where NAB reasonably believes that processing the request may cause loss to NAB or to you. These are examples only and do not limit NAB's ability to delay or not act on a request for any other reason.
  - e) In the absence of a breach of obligation owed to you, NAB will not be responsible for any loss, damage or liability you may suffer or incur by reason of, or in connection with:
    - (a) NAB acting on any email or facsimile instruction from your financial adviser or (where listed) the financial adviser's representative on your behalf; or
    - (b) NAB's failure to act or delay in action on any email or facsimile instruction.
  - f) NAB will not be liable for any loss which is not a foreseeable consequence of a claimed breach, nor for any loss caused by the actions of your financial adviser or (where listed) the financial adviser's representative on your behalf in breach of these terms and conditions.

### Account Transaction Data Feeds Authority

The provision of the Account Transaction Data Feeds is to facilitate account information to your financial adviser to assist in providing relevant financial planning and financial services advice.

7. By completing Section C Part 3 you:
- a) authorise NAB to disclose account information (details and transactions) of your NAB Cash Manager account electronically via regular data feeds through the financial services software of the Account Service Provider named in Section C Part 3 ("Account Data Feeds"); and
  - b) agree and accept that in respect to the Account Transaction Data Feeds:
    - (i) NAB is not liable for the delay or failure to provide the Account Transaction Data Feeds to the Account Service Provider including any processing errors or other matter arising from this authority nor will any liability of NAB include or extend to any special or consequential loss or damage suffered by me/us in reliance on the Account Transaction Data Feed;
    - (ii) NAB may in its reasonable discretion discontinue the provision of the Account Transaction Data Feeds by written notice to the Account Service Provider;
    - (iii) NAB may in its reasonable discretion vary the terms and conditions of this Account Transaction Data Feed authority and provide notice of such changes to you;
    - (iv) Any revocation of this authority by you will not take effect until 48 hours after NAB receives written notice of the revocation. Notification should be sent to National Online Fulfilment – NAB, Ground Floor 122 Lewis Road Wantirna South Victoria 3152; and
    - (v) the named Account Service Provider is an independent contractor of NAB. As such NAB accepts no responsibility for the actions, omissions, fraud or negligence of the Account Service Provider or any other third party.

### NAB Connect Authority

8. The NAB Connect terms and conditions apply to the Account holder's access to your NAB Cash Manager account as a User and to whom a NAB Identifier Number (NIN) has been issued for this purpose.
9. By completing the authorities in Section C Part 4 of this application form in relation to NAB Connect you authorise your financial adviser including any other representative from the financial adviser detailed in Section C Part 1 as a User under NAB Connect to perform the functions appointed in Section C Part 4 in relation to your NAB Cash Manager account. The NAB Connect terms and conditions apply to your financial adviser's access to your NAB Cash Manager account as a User (see NAB Connect terms & conditions at <http://www.nab.com.au/business/online-banking/nab-connect/nab-connect-terms-and-conditions>).

### NAB Internet Banking Authority

10. The NAB Internet Banking terms and conditions apply to the Account holder's access to your NAB Cash Manager account as a User and to whom a NAB Identifier Number (NIN) has been issued for this purpose.
11. By completing the authorities in Section C Part 4 of this application form in relation to NAB Internet Banking you authorise your financial adviser including any other representative from the financial adviser detailed in Section C Part 1 as a User under NAB Internet Banking to perform the functions appointed in Section C Part 4 in relation to your NAB Cash Manager account. The NAB Internet Banking terms and conditions apply to your financial adviser's access to your NAB Cash Manager account as a User (see NAB Internet Banking terms & conditions at <http://www.nab.com.au/personal/help-and-guidance/terms-and-conditions-for-personal-products/personal-transaction-and-savings-products>).