



# Document checklist Trusts

What we need to complete your application

**Thank you for your interest in NAB. All clients applying for a new business account must complete the identification procedures for the purposes of Anti-Money Laundering and Counter Terrorism Financing laws. The checklist below contains the information we require to establish you as a Customer. Further information may be collected from you to support the establishment process, if required.**

Please note that each signatory operating on the account as well as all Beneficial Owners\* will need to provide identification documents as per the **Individual Checklist** unless they are existing NAB Customers. As part of our ongoing due diligence, individuals who are existing NAB Customers since 12/12/2007 may be asked to provide ID documents again.

## Information required

- ☐ Full name of the trust (if any)
- ☐ Full business name (if any) of the trustee in respect of the trust (which includes any Trading names)
- ☐ Full business name as registered with ASIC (if applicable)
- ☐ Type of Trust
- ☐ Government Issued identification Number (if any)
- ☐ ABN or ARSN (Australian Registered Scheme Number) (if any)
- ☐ Country in which the Trust was established
- ☐ Date the Trust was established
- ☐ The nature & purpose of your intended banking relationship with NAB
- ☐ Your Wealth - the activities that have contributed to your wealth to date and which countries did it originate from
- ☐ Nature of Business/Industry - all activities of the business
- ☐ Full name, residential address, date of birth, and foreign tax status (if any), of all trustees\* (individual)
- ☐ If any individuals are a citizen or resident of any foreign country for tax purposes, provide Tax Identification Number (TIN)
- ☐ Principal Place of Business Address and mailing address if different to your Principal Place of Business Address
- ☐ The full name of each beneficiary in respect of the trust, or if the terms of the trust identify the beneficiaries by reference to a membership of a class then provide details of the class.
- ☐ Foreign Account Tax Compliance Act (FATCA) / Common Reporting Standard (CRS) information of the Trust
- ☐ Any Signatories
- ☐ The full name of the settlor of the trust
- ☐ Any Joint Account Holders
- ☐ Any Agent / Verifying Officer (VO) of the Customer

## If the trustee\* is a company

- ☐ Please also refer to the **Australian Company Checklist**

\*If the trustee is a company, this applies to the individual Beneficial Owners who own (either directly or indirectly) 25% or more of the company. If there is no such individual, then this applies to the individual who holds the position of Senior Managing Official (or equivalent).

## Documents required

- ☐ Full copy of the Trust Deed including any Amending Deeds
- ☐ Where the trust is created by Trust Deed, the original, or a Copy or extract of the Trust Deed; or
- ☐ Where the trust is created by an instrument (other than a Trust Deed), the original, or a Certified Copy or certified extract, of the instrument creating the trust; or
- ☐ Where the trust is created by statute, a copy of the relevant current legislation as obtained from the official Government source

## Next steps

- ☐ Organise all required individuals to provide identification documents at a NAB branch or their banker. Where we have your consent, we may be able to verify your identity electronically
- ☐ Arrange a time to complete the application process with your banker
- ☐ Provide or email information and relevant documents to your banker