



# Customer Information Form

## Personal Account (For resident individuals/non resident individuals/ persons of Indian origin) (To be filled by applicant only)

### Instructions

- Please fill the form in BLOCK letters and in BLACK INK only.
- All fields marked \* are mandatory.
- Account facility shall be at sole discretion of National Australia Bank, Mumbai Branch.
- Please attach the relevant documents.

National Australia Bank Limited, Mumbai Branch  
Phone +91 22 6198 8200/+91 22 6198 8299  
Version date: June 2014

For office use only

Please quote this reference number for any future communication.

“NAB/Bank/You” in this form means National Australia Bank Limited, Mumbai Branch.

“I/We” in this form means the account holder.

I/We acknowledge that the information requested in this form is for the purposes of complying with various laws, regulations and guidelines (including Know Your Customer (KYC) norms / Anti-Money Laundering (AML) standards/Combating of Financing of Terrorism (CFT)/Obligation of banks under the Prevention of Money Laundering Act, 2002) promulgated by the Government of India from time to time. I/We further acknowledge that if I/we do not provide the requested information to you, you may be unable to provide me/us with your services, including the opening, maintenance and operate account(s) in my/our name(s).

Customer ID number (For bank use only)

Branch

Date

Customer ID number (For bank use only)

Branch

Date

### Customer details \*

Title  Mr  Mrs  Ms  Other

Gender  M  F

Name of customer

First name

Middle name

Last name

Date of birth

Nationality

Country of birth

Mother's maiden name

First name

Middle name

Last name

Minor  Yes  No

Senior citizen  Yes  No

Permanent account number details

1. My PAN is

2. I have applied for a PAN, which is not yet allotted. I undertake to provide you the PAN on receipt (copy of application is attached and copy of Form 60/61 is attached)

Please provide Form 15 H / 15 G at the time of application if you are not liable to pay tax on your interest income.

Passport details (Please attach copy)

Passport number

Date of issue

Date of expiry

Primary identification documents submitted with this form are^

I am a U.S. citizen or resident of U.S. for tax purposes

No  Yes

If yes, please provide Taxpayer Identification Number (TIN)

### Contact details \*

Residential address  Tick if also correspondence address

Unit/Floor/Building

Street/Road

City/District

Country

Postal code

Land line number (Country code + STD)

Mobile number

Mobile phone number (Country code + number)

Facsimile number (Country code + number)

Address proof documents submitted with this form are^

Permanent address, if different from residential address  Tick if also correspondence address

Unit/Floor/Building \_\_\_\_\_  
Street/Road \_\_\_\_\_  
City/District \_\_\_\_\_  
Country \_\_\_\_\_ Postal code \_\_\_\_\_

Land line number (Country code + STD) \_\_\_\_\_ Mobile number \_\_\_\_\_  
( ) \_\_\_\_\_ ( ) \_\_\_\_\_  
Mobile phone number (Country code + number) \_\_\_\_\_ Facsimile number (Country code + number) \_\_\_\_\_  
( ) \_\_\_\_\_ ( ) \_\_\_\_\_

Address proof documents submitted with this form are^ \_\_\_\_\_

^ Acceptable documents as per the Reserve Bank of India guidelines enlisted in Annexure A

**Guardian details (In case of minor, please submit copies of identification and address document for the guardian)**

Name of Parent/Guardian \_\_\_\_\_ Date of birth \_\_\_\_\_  
Relationship with minor: Father / Mother. By Court Order (If yes, please attach a copy) Others (Please specify) \_\_\_\_\_

I shall represent the minor in all transactions of any description in any account opened with the Bank until the said minor attains majority. I hereby agree to fully indemnify the Bank against any claim of the above minor for any withdrawal/transaction made by me in his/her account.

**Employment details**

Occupation  Salaried  Self employed/Professional  Business  Retired  Student  House maker  
Job title and occupation \_\_\_\_\_  
 Full time  Part time Name of employer \_\_\_\_\_

Industry \_\_\_\_\_ Monthly income (INR) \_\_\_\_\_

If self employed, nature of business \_\_\_\_\_

Name under which business carried on \_\_\_\_\_

Name of the company \_\_\_\_\_ Designation \_\_\_\_\_

If self employed \_\_\_\_\_

If in business  Public Limited  Private Limited  Proprietorship  Partnership  
Annual household income  < INR 1,00,000  INR 3,00,000  INR 3,00,000 – INR 10,00,000  > INR 10,00,000

**Introduction details**


**Introduction by existing Bank account holder**

Customer name \_\_\_\_\_ Account number \_\_\_\_\_

I confirm that I am an account holder with the Bank. I confirm that I personally know the applicant/s detailed herein for more than six months and confirm his/her identity, occupation and address.

**Introduction by employer for staff payroll account**

This is to certify that Mr. /Ms. \_\_\_\_\_ is working with our organisation. I certify that he/she has pasted the latest photograph and the address provided is as per our records.

Introducing customer signature  Location _____ Date _____	Signature verified by (Name) _____ Signature verified by (Signature) _____ Employee ID _____ Date of a/c opened _____
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**Other**Education qualification  Undergraduate  Graduate  Post graduate (General)  Post graduate (Professional)Marital status  Married  Unmarried Spouse name \_\_\_\_\_

Number of dependants \_\_\_\_\_ Adults \_\_\_\_\_ Children \_\_\_\_\_

Residing outside India since: Years \_\_\_\_\_ Months \_\_\_\_\_ (Only for PIO and non resident individuals)

**Banking relationship (with other banks)**Bank name (1) \_\_\_\_\_ Account number \_\_\_\_\_ Overdraft facility exists  
 Yes  NoBank name (2) \_\_\_\_\_ Account number \_\_\_\_\_ Overdraft facility exists  
 Yes  No**Communication with customer**

You reserve the right at your discretion to send account related alerts and notification through SMS &amp; email. I may choose to unsubscribe to this mode of communication by sending a request to the Bank at any time.

**Declaration \*****General Terms and Conditions:**

1. I hereby acknowledge, understand and consent to the terms contained in NAB's "Privacy Policy", a copy of which has been provided to me.
2. I acknowledge, agree and give consent to NAB that NAB and its officers, employees, service providers and agents may use, store, process, disclose, transfer (whether within or outside India) and/or exchange my information (including personal data and information relating to any of my accounts) in accordance with NAB's "Privacy Policy".
3. I warrant that all information and particulars given to you (whether in an account opening form or otherwise) are true, correct and not misleading.
4. I undertake to notify you of any changes in my particulars as soon as reasonably practicable after they change.
5. I agree that the Bank may debit my account for service charges as applicable from time to time.
6. I hereby irrevocably authorise and permit the Bank to disclose, from time to time, any information on or relating to me or my joint account(s) with the Bank to any other branch of the Bank or any of its subsidiaries or affiliates or to any governmental authority, statutory authority, judicial authority or credit bureaus, whether in India or any other jurisdiction, or Reserve Bank of India or third party or the Banks consultant, advisor or service provider without any requirement for any further consent from me.
7. I/We herewith confirm, that all other rules and conditions of the Bank including any amendments thereto as notified by the Bank from time to time shall apply to each of the accounts and all documentation in relation thereto.
8. I agree to furnish such additional documents to the Bank as the Bank may require from time to time.

Please tick the box which applies to you

 **Self declaration by resident Indian**

I hereby declare that I am a person resident in India as defined under Foreign Exchange Management Act, 1999 ("FEMA").

 **Self declaration by person of Indian origin (please tick the applicable conditions) (If applicable)**

I declare that I am the person of Indian origin as defined under FEMA and satisfy one of the following conditions

- I held an Indian passport in the past
- My Father/Mother/Grandfather/Grandmother \_\_\_\_\_ is/was a citizen of India by virtue of Constitution of India or the Citizenship Act, 1955
- My spouse is of Indian origin
- The Father/Mother/Grandfather/Grandmother/Person \_\_\_\_\_ of my spouse is/was a citizen of India by virtue of Constitution of India or the Citizenship Act, 1955

 **Self declaration by non resident Indian**

1. I hereby declare that I am a non resident Indian(s) as defined under Foreign Exchange Management Act, 1999. I understand that the account will be opened on the basis of the statements/declarations made by me, and I also agree that if any of the statement/declaration made herein is found to be incorrect in material particulars you are not bound to pay any interest on the deposit made by me.
2. I agree that no claim will be made by me for any interest on the deposit(s) for any period after the date(s) of maturity of the deposit(s). I agree to abide by the provisions of the Foreign Currency non resident (External) Account Scheme where applicable. I hereby undertake to advise you about my return to India for permanent residence immediately on arrival.
3. I shall not make available to any person resident in India, foreign currency against reimbursement in Rupees or in any other manner in India.
4. I confirm that all the debits to my accounts for the purpose of investment in India and credits representing sale of investment in India are covered either by general or special permission of Reserve Bank of India.
5. I will ensure that investments in shares/securities or immovable property in India out of funds held in my/our account with you are governed by respective regulations of Reserve Bank of India.

**Information pursuant to Anti-Money Laundering Regulations**

- I am the beneficial owner of all assets run through my own account.
- The beneficial owner of some/all assets run through the account is (name and address of person for whom the account(s) are maintained)


**Foreign Exchange Management Act, 1999**

I hereby declare that the transactions relating to foreign exchange routed through your Bank do not and will not involve, and are not and will not be designed for the purpose of any contravention or evasion of the provisions of the aforesaid Act or of any rule, regulation, direction, or order made thereunder. I also hereby agree and undertake to give such information/documents from time to time as will reasonably satisfy you about the transactions in terms of the above declaration.

The information including landline number, mobile number and email ID as mentioned above (apart from being used for mandatory account verification and maintenance purposes) may also be used by the Bank to contact the customer and offer carefully selected products and services from time to time either itself or through its agents or authorised representatives. Please indicate if you are agreeable to receiving such offers:

 Yes  No

**Customer signature \* (sign within the box and use black ink for signature)**

Place for Photograph	Signature 
	Name <hr/>

**Annexure A**

**Documents of proof for legal name and any other names used:**

1. Passport
  2. PAN card
  3. Voter's Identity Card
  4. Driving license
  5. Job card issued by NREGA duly signed by an officer of the State Government.
  6. The letter issued by UIDAI containing details of name, address and Aadhaar number
  7. Identity card (subject to the bank's satisfaction)
  8. Letter from a recognised public authority or public servant verifying the identity and residence of the customer to the satisfaction of bank.
- Documents of proof for correct permanent address: (any one document which provides customer information to the satisfaction of the bank will suffice).**

1. Telephone bill
2. Bank account statement
3. Letter from any recognized public authority
4. Electricity bill
5. Ration card
6. Letter from employer (subject to satisfaction of the bank)

**For internal use only**

Customer risk profile  Very conservative  Conservative  Moderate  Aggressive  Very aggressive

Customer classification  General customer  Very important person  Investor  Professional investor  Exit bank

Lead generated by

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Customer sourced by

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Customer managed by (RM CODE and Name)

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Bank officer code

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Signature witnessed by



Name of Bank Officer

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Information entered by

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Checked by

---

Account number


Branch Manager/Service Manager

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Employee ID

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Date

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Notes

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**For further information**

Customer classification  General customer  Very important person  Investor  Professional investor  Exit bank

Customer category  Private banking  Private banking payroll  Business banking  Retail banking  
 Walk in  Internal only  Professional investor

Individual non resident  PEP  NAB staff  Relative of NAB  Others

Individual resident  PEP  NAB staff  Relative of NAB  Others

- Please visit our bank branches
- Contact us: +91 22 61988200
- Log on to [www.nabasia.in](http://www.nabasia.in)
- E-mail us at [nab\\_enquiry@nabasia.com](mailto:nab_enquiry@nabasia.com)